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▶ To cite this version:

Alexandre Leclercq, Pauline Kooh, Jean-Christophe Augustin, Laurent Guillier, Anne Thébault, et al.. Risk factors for sporadic listeriosis: a systematic review and meta-analysis. Microbial Risk Analysis, 2020, pp.100128. 10.1016/j.mran.2020.100128. pasteur-02890197

HAL Id: pasteur-02890197 https://pasteur.hal.science/pasteur-02890197

Submitted on 6 Jul 2020

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Risk factors for sporadic listeriosis: a systematic review and meta-

analysis

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- 19

20 Abstract

Listeriosis is a major public health concern associated with high hospitalization and mortality 21 rates. The objective of this work was to summarize evidence on the associations between risk 22 23 factors and sporadic cases by meta-analysing outcomes from currently published case-control studies. Suitable scientific articles were identified through systematic literature search, and 24 subjected to a methodological quality assessment. From each study, odds-ratio (OR) measures 25 as well as study characteristics such as population type, design, type of model and risk factor 26 hierarchy were extracted. Mixed-effects meta-analysis models were adjusted by population type 27 28 to appropriate data partitions.

Twelve primary studies investigating sporadic listeriosis conducted between 1985 and 2013
passed through a quality assessment stage. These studies provided 226 OR considered for metaanalysis.

According to the meta-analysis, the main risk factor for acquiring listeriosis is suffering from an immunocompromising disease. In relation to the food exposures, this meta-analysis confirmed known risk factors such as consumption of RTE dairy, seafood and processed meat and underlined new food vehicles as fruits and vegetables, recently involved in outbreaks. There were not enough data to appraise travel, animal-contact and person-to-person as transmission pathways for listeriosis. These results will allow refining the case-control studies in the aim of improving risk factors characterisation for listeriosis in the susceptible population.

40 Keywords

41 Listeria monocytogenes; research synthesis; case-control studies; observational studies

43 **1. Introduction**

Listeriosis is a severe foodborne illness, caused by the bacterium *Listeria monocytogenes*, 44 which is widely distributed in the environment. Listeriosis is a major public health concern as 45 underlined by its hospitalization rate of 98.6% and a case-fatality ratio of 13.8% reported in 46 Europe in 2017 (EFSA and ECDC, 2018). The incidence of listeriosis is low, estimated at 47 around 3 to 6 cases per 1 million population per year (de Noordhout et al., 2014). Two clinical 48 forms of listeriosis exist: non-invasive forms mainly with gastroenteritis, often underestimated 49 in several countries by lack of surveillance, and invasive forms with bacteraemia, 50 51 neurolisteriosis, maternal-neonatal infections and focal infections in various organ systems 52 (Charlier et al., 2017; Ooi and Lorber, 2005). High- risk populations include the elderly (> 65 53 years old), immunocompromised people and pregnant women (EFSA BIOHAZ Panel, 2018). Mainly characterized by sporadic cases or small clusters, listeriosis cases have also occurred as 54 55 outbreaks and large human clusters identified through epidemiological investigations using 56 whole genome sequencing (WGS) methods (Moura et al., 2017; Nielsen et al., 2017; Van Walle 57 et al., 2018). L. monocytogenes is mainly recognized to be transmitted by the ingestion of readyto-eat (RTE) foods that are held for extended periods at refrigeration temperatures and allow 58 growth to high numbers at the time of consumption. Investigation of listeriosis outbreaks has 59 identified various food vehicles such as cheeses, RTE meat products, and fish products 60 (Buchanan et al., 2017). New food vehicles, including foods that do not support the growth of 61 L. monocytogenes (e.g. ice-cream) have also been identified through recent outbreak 62 investigations (Buchanan et al., 2017). 63

64 Case–control studies of sporadic disease are a valuable tool to identify risk factors for human 65 infections, including routes of transmission, food exposures, behavioural and predisposing 66 factors. A systematic review and a meta-analysis of case-control studies were performed in 67 order to combine the association measures, odds-ratios (OR), between listeriosis and its main 68 risk factors.

69

70 2. Material and methods

The protocol of the systematic review and the meta-analysis model are described in depth in
the methodological paper of this special issue (Gonzales-Barron et al., 2019).

73

74 **2.1 Systematic review**

The literature search was conducted in March 2017 in five bibliographic search engines,
Science Direct, PubMed, Scielo, ISI Web of Science and Scopus. The search strategy was

limited to title/ abstract/ keyword using the following keywords: ("*Listeria monocytogenes*"
"OR" "listeriosis") *AND* ("case-control" OR "risk factor" "OR" "cohort") AND ("infection" *OR* "disease"). No restrictions were defined for the year of the article or type of publication.
The search was limited to the languages English, French, Portuguese and Spanish.

81

Each reference record was screened for relevance for inclusion in the meta-analysis study. The 82 methodological quality of the "candidate" studies was appraised using pre-set quality criteria 83 comprising (1) appropriate selection of the controls; (2) adjustment to correct for confounders, 84 85 (3) comparability between cases and controls; (4) acceptable responses rates for the exposed and control groups; (5) data analysis appropriate to the study design; (6) provision of Odd ratio 86 (OR) with confidence interval or p-value; or provision of sufficient data to calculate ORs; (7) 87 overall quality of the study (Gonzales-Barron et al., 2019). Primary studies that passed the 88 89 screening for relevance were marked as having potential for bias if they failed to meet at least one of the methodological quality assessment criteria. 90

91 Data from primary studies were then extracted using a standardised spreadsheet. Data extracted 92 included the relevant study characteristics (Country, year, population, serotype/phage 93 type/strains, case definition, design, sample size of the groups, type of model, matching and 94 adjusting criteria), the categorized risk factors, the setting, the handling practices and the 95 outcome of the study (OR).

A data categorisation scheme was established to hierarchically group the risk factors into travel, host-specific factors and pathways of exposure (see the methodological paper of this issue (Gonzales-Barron et al., 2019)). Specific partitions were made to investigate the risk related to ready-to-eat foods (i.e., dairy, meat, seafood and produce RTE). The variable "Population" was stratified into specific populations taking into account their respective susceptibility and the clinical form of listeriosis, namely pregnancy related cases ("perinatal") and other forms of invasive listeriosis ("non-perinatal").

103

104 2.2 Data synthesis

As described in Gonzales-Barron et al. (2019), the joint meta-analytical data was first described using basic statistics. Next, data was partitioned into subsets of categories of risk factors. Metaanalysis models were then fitted to each of the data partitions or subsets in order to estimate the overall OR due to-travel, host specific factors and transmission pathways related to person-toperson contagion, animal contact, environmental exposures and food vehicles. The metaanalytical models were fitted separately by population type. For some food classes, the effects
of handling (i.e., eating raw, undercooked) and setting (i.e., eating out) on the overall OR were
assessed by the calculation of the ratio of the mean OR when food is mishandled (or,
alternatively, when food is prepared outside the home) to the base OR.

- The statistical analysis was designed to assess the effect of the geographical region, the study 114 period and the analysis type (univariate/multivariate) on the final result. The objective of the 115 region-specific meta-analysis was to inform the decision on the geographical regions that 116 should be maintained for the subsequent pooling of OR. All meta-analysis models were 117 118 essentially weighted random-effects linear regression models. Once a meta-analysis model was fitted, influential diagnostics statistics were applied in order to remove any influential 119 120 observation originating from studies marked as having potential-for-bias. Publication bias was 121 assessed by funnel plots and a statistical test investigating the effect of the study sample size on 122 the ORs (Gonzales-Barron et al., 2019). Heterogeneity between studies was assessed by different indicators, such as the between-study variability (τ^2), the QE test investigating residual 123 heterogeneity, the variance of residuals and the intra-class correlation I² (Gonzales-Barron et 124 al., 2019). Publication bias and remaining heterogeneity were not further corrected for, but were 125 126 taken into account for the interpretation of the results.
- All analyses were produced in the R software (R Development Core Team, 2008) implemented
 with the *metafor* package (Viechtbauer, 2010).
- 129

130 **3. Results**

131 **3.1 Descriptive statistics**

From 1902 identified references, 189 passed the relevance screening and 12 passed the quality assessment stage (Fig. 1). Table 1 summarizes the main features of the case-control studies used in this meta-analysis. These 12 primary studies investigating sporadic listeriosis were conducted between 1985 and 2013 and provided 226 ORs. A total of 84% of the meta-analytical data were produced by case-control studies from Australia (71 ORs), USA (56 ORs), Germany (35 ORs) and UK (27 ORs).

All studies targeted susceptible populations. Seven case-control studies investigated exposures in the non-perinatal population – comprising immunocompromised and elderly (139 ORs), six case-control studies focused on the broad susceptible population with no distinction between perinatal and non-perinatal cases (labelled as "perinatal/non-perinatal" – 63 ORs) and one study (Dalton et al., 2011) conducted a case-control investigation on the perinatal population (24 ORs

- extracted). Because the amount of data for the perinatal population was limited, separate metaanalysis models could not be adjusted for this population class. However, separate metaanalyses could be fitted for the non-perinatal population (139 ORs) and to the broad susceptible population (combined non-perinatal, perinatal and perinatal/non-perinatal data of 226 ORs).
- 147 The majority of primary studies investigated listeriosis caused by undifferentiated serotypes,
- 148 except for Varma et al. (2007) whose case patients were infected with either serotype 1/2a or

4b. In all studies, the cases of listeriosis were laboratory-confirmed.

- 150 With regards to the risk factor classes, sporadic illness investigations focused on host specific
- 151 factors (68 OR) and multiple pathways of exposure: food (149 ORs), environment (8 ORs) and
- contact with animals (1 OR). Travel and person-to-person contagion were not investigated as
 potential risk factors for listeriosis among the case-control studies included in this metaanalysis.
- 155 After methodological quality assessment, three case-control studies were marked as being below standards. In Gillespie et al. (2010), controls were not necessarily healthy people, whilst 156 157 in Schlech et al. (2005), controls were patients with campylobacteriosis or salmonellosis. Finally, the OR measures from Jensen et al. (1994) were assigned the potential-for-bias status 158 159 because the study, in general terms, was not clearly described, and some of the OR extracted 160 were approximated. Those three case-control studies furnished 16 potentially biased OR whose influence on the pooled OR estimates was appraised by means of the Cook's distance. 161 Whenever they were determined to be influential, they were removed from the meta-analysis 162 models (Tables 2, 3, 4, 5). 163
- Four case-control studies employed a matched experimental design and produced a total of 103 matched ORs. Bringing together the matched and unmatched designs, 127 extracted OR were not adjusted by any confounder (crude OR), while 100 OR were adjusted using either Mantel-Haenzel method or logistic regressions.
- 168

169 **3.2 Meta-analysis**

For every data partition, the meta-analysed risk factors are presented in summary tables only when significant (Tables 2, 3, 4). Pooled ORs were considered as significant when the lower bound of the 95% CI was equal or greater than 1. Non-significant results on main risk factors are presented in Supplementary Material 2. More detailed descriptive results, in particular funnel plots, forest plots, and OR of non-significant results, are in a complete report available upon request. 176 The pathways of exposure that on meta-analysis had non-significant association with listeriosis

- 177 were farm environment and food subcategories such as vegetables, red meats, crustaceans,
- molluscs, processed seafood and composite dishes (Supplementary Material 2).
- 179

180 Meta-analysis for host specific risk factors

The meta-analysis on host-specific factors showed that, immunocompromising conditions, 181 other medical conditions, chronic diseases and the use of anti-acids exacerbated the risk of 182 acquiring listeriosis in all of the geographical regions with pooled OR between 2.014 and 5.170. 183 Suffering from any immunocompromising condition (pooled OR=5.170; 95% CI [1.735 -184 15.407), was the most important predisposing factor for listeriosis among the susceptible 185 population. Immunocompromising conditions included cancer, chemotherapy, and transplant 186 (Figure 2). Other medical conditions (pooled OR=3.020; 95% CI [2.326 - 3.923]) included 187 188 cardiovascular diseases, pre-existing liver disease, previous hospitalization, gastrointestinal diseases, and lung diseases. 189

190

191 Meta-analysis for food consumption

Most of the routes of transmission of listeriosis recovered in the systematic review were related to consumption of foods such as meat, dairy, seafood, composite dishes and produce. Very limited data were available for food subcategories such as eggs, grains and beverages (juice), so the significance of these sources as potential vehicles of transmission of listeriosis could not be appraised.

- The food categories followed a similar ranking as sources of listeriosis in the general susceptible population and the non-perinatal subset. According to their association with listeriosis in the susceptible population, the global food categories ranked in decreasing order were: seafood (pooled OR=2.148; 95% CI [1.190 - 3.877)), dairy (pooled OR=1.867; 95% CI [1.292 - 2.699]),
- 201 composite foods (pooled OR=1.621; 95% CI [1.014 2.590]), produce (pooled OR=1.415 95%

202 CI [1.003 - 1.995]) and meat (pooled OR =1.371 [1.027 - 1.830]) (Table 2).

- 203 The meta-analyses by RTE class revealed that the consumption of RTE seafood (pooled OR
- ranged from 6.273 to 10.746) and dairy products (pooled OR ranged from 1.636 to 1.830) are
- the main risk factors for listeriosis in the general susceptible population and the non-perinatal
- 206 population subset (Table 3).
- The meta-analysis on the seafood data partition did not reveal significant associations for crustaceans (pooled OR =1.033; 95% CI [0.677 1.574]), molluscs (pooled OR=1.985; 95% CI

- 209 [0.984 4.004]) and processed fish (pooled OR=2.790; 95% CI [0.981 7.932]) (cf.
 210 Supplementary Material 2).
- 211 Within dairy, the consumption of cheese (in majority soft cheese; pooled OR=1.832; 95% CI
- 212 [1.270 2.643]) and fats (raw milk, raw cream and raw butter; pooled OR=2.139; 95% CI [1.314
- 3.481]) were significantly associated with listeriosis in the general susceptible population
- 214 (Table 4; Figure 4).
- Within meats, the higher association with disease in the susceptible population was found for poultry (essentially undercooked poultry; pooled OR=2.157; 95% CI [1.177 - 3.951]), although it should be kept in mind that only 5 ORs were available for this pathway of exposure. Moreover, a significant association with listeriosis was found for the consumption of processed meats (pooled OR=1.624; 95% CI [1.230 - 2.143]) that included processed pork, processed poultry, cooked sausages, raw fermented spreadable sausages, dry-cured ham, deli meats,
- hotdogs, pate, cold meats and uncooked hotdogs.
- Within produce, a significant association was found for the consumption of fruits (melons,
 cantaloupe, strawberries, RTE fruit salads; pooled OR=1.538; 95% CI [1.1431 2.070]) by the
 susceptible population.
- 225

226 Meta-analysis on the effects of handling and preparation of foods

For some food classes, for which relevant information was available, the effects of handling (raw and undercooked) and setting (eating out) were appraised (Table 5). The data partitions suitable for this analysis were: (i) processed meats and poultry, and (ii) fruits.

On meta-analysis, it was found that susceptible people who claimed having eaten raw processed meats or undercooked poultry had their odds of infection significantly increased by a factor of 2.168. Eating out came up as a significant factor increasing the risk of listeriosis infection. On average, susceptible people who had consumed fruits prepared in a food establishment had theirs their odds of infection significantly increased by a factor of 2.358.

For some partitions (whole food, meat, produce, composite), both the formal tests and the funnel plots indicated that publication bias is likely (Figure 5). A significant publication bias p-value implies that the OR value measured by the researchers depends upon the sample size. In this case, it is likely that small-sized studies have remained unpublished because of their failure to detect significant OR (Gonzales-Barron et al., 2019). Moreover, the intra-class correlation I^2 indicates low to moderate heterogeneity (<75%) for most of the data partitions (Tables 2, 3, 4,5).

243 **4. Discussion**

The meta-analysis showed that underlying health conditions or diseases and the consumption 244 of RTE foods (seafood, dairy and meat) were the most important risk factors for sporadic 245 listeriosis. Host-specific related risk factors presented higher pooled OR ranging from 2.014 to 246 247 5.170 for the susceptible population. These host susceptibility risk factors were also confirmed by analysis of epidemiological data (Pouillot et al., 2015) and the recent prospective cohort 248 study (Charlier et al., 2017). The relative host susceptibility derived for 11 population 249 250 subgroups, showed that the highest susceptible population (hematological cancer) is a thousand 251 more susceptible than general healthy population (Pouillot et al., 2015).

252 The pooled OR assigned to main food categories ranged from 1.371 to 2.477. For specific foods 253 such cheeses or processed meat, the odds ratios increase. The highest pooled OR is observed 254 for RTE fish products in the susceptible populations other than pregnant women with a value 255 of 10.746. This probably illustrates the fact that many foods can be contaminated by L. monocytogenes and that the practices associated with these foods (manufacturing, storage, 256 257 consumption) strongly affect the risk associated to them. In these conditions, it is very difficult 258 to identify specific food at risk as the food categories are disparate in terms of ability to support 259 the growth of L. monocytogenes. Moreover, the diversity of food questionnaire at national and regional levels concerning the list of foods at risk, the methodology used to perform them and 260 the understanding of patients have always been recognised as a source of bias. 261

However, this meta-analysis identified the RTE such as seafood, dairy and processed meats as 262 the main risks factors of listeriosis. These food categories have been already identified by more 263 264 than 100 outbreaks worldwide as foods at risk for listeriosis (Buchanan et al., 2017). The 265 estimated ORs from this meta-analysis are not a direct assessment of attribution of the different 266 food to the sporadic cases. Meanwhile, existing source attribution models published on L. monocytogenes revealed the importance of the same food categories. The EFSA BIOHAZ panel 267 based on a bottom-up approach with data on prevalence, levels of contamination, growth and 268 consumption data together with dose-response assessment yielded estimates that RTE meat 269 270 products accounted for 67% of human cases, RTE fish products for 32% and soft and semi-soft cheeses for 1% (EFSA BIOHAZ Panel, 2018). Another approach using WGS showed that 271 272 different source attribution models applied on a set of European human sporadic strains for 273 different levels of molecular analysis (from MLST to wgMLST) tended to place bovine, and 274 thus cheese, as the main source of human listeriosis (32% to 64%) (Nielsen et al., 2017). This difference of the importance of cheese could be explained by one assumption done in 275 276 quantitative risk assessments. Usually, the variability of strain virulence is considered to be the

same whatever the food type. However, between-strain virulence variability is huge for *L*. *monocytogenes* (Maury et al., 2019). A small change in proportion of most virulent strains in a
food category could thus considerably change the estimated contribution of that food.

On the other hand, crustaceans and molluscs are not identified in this meta-analysis as significant factors. In France, crustaceans such as shrimps involved in food safety incidents (withdraw/recall) are contaminated by hypovirulent clones of *L. monocytogenes* such as CC121 and CC9 (Maury et al., 2019; Maury et al., 2016) that could explain the low number of sporadic cases associated to these foods (EFSA and ECDC, 2018; Fritsch et al., 2018; Painset et al., 2019).

Two studies in USA and Australia identified the fruits consumption (cantaloupes) as a risk
factor (Dalton et al., 2011; Varma et al., 2007). Cantaloupes present neutral pH value and thus
support the growth of *L. monocytogenes* (Bassett and McClure, 2008; Hoelzer et al., 2012).
More generally, the importance of produce is supported with recent advances in detection of
unknown source of listeriosis outbreaks allowed by genomic methods (Buchanan et al., 2017;
Chen et al., 2016; EFSA and ECDC, 2018; Nyarko et al., 2016). This point emphasizes the need
to develop a risk assessment on produce and especially fruits for *L. monocytogenes*.

293 The results of this meta-analysis based on studies conducted before 2013 did not take into 294 account new discovered food vehicles identified thanks to the combination of listeriosis 295 surveillance data and genomic data (Desai et al., 2019). Furthermore, the high discrimination power of genomic methods has recently conducted to the evolution of the definition of sporadic 296 and outbreak cases of listeriosis, distinguishing them more finely (Moura et al., 2017; Van 297 298 Walle et al., 2018). Food questionnaires shall be permanently updated in each country based on the evolution of food habits and the discovering of new contaminated products based on food 299 surveillance (EFSA and ECDC, 2018; Self, 2016). Results from the upcoming case control 300 studies might be different because of the change of both the knowledge of the potential food 301 302 vehicle, the definition of sporadic cases and the new consumption patterns.

The different case-control studies of this meta-analysis published before March 2017 are stored in a database. It will be updated with relevant studies published after this date (e.g. Kvistholm Jensen et al. (2017)) and future case-control studies. Future analysis will help to identify the potential evolution of risk factors.

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308

5. Conclusions

This meta-analysis confirmed known risk factors of listeriosis: consumption of RTE food such 311 as milk products or fish products and consumption of processed meat. The risk is probably 312 linked to their intrinsic characteristics allowing the growth of L. monocytogenes and their mode 313 314 of consumption. These risk factors concerned sporadic cases but have also been reported for outbreaks worldwide. A risk assessment related to L. monocytogenes in fruits and vegetables 315 should be investigated based on the increasing consumption of this type of products. 316 Future case control studies should be conducted by refining the categories of RTE food and 317 including vegetables and fruits that have been the source of human cases. It would be necessary 318 to consider a typology of foods that is more representative of the level of risk and takes into 319 320 account the processing method (raw, cooked, fermented, etc.), the intrinsic characteristics (pH, 321 water activity, preservatives, background microflora), the storage (short or long shelf-life) and the mode of consumption (immediate consumption, reheating, cooking). It would be interesting 322

to carry out these studies on elderly people that constituted the main part of the susceptible

324 population.

- 325 Appendices: Supplementary material
- 326 Appendix 1: References of the 12 primary studies
- 327 Appendix 2: Non-significant results on the main risk factors
- 328

329 Data statement

330 Acknowledgments

The authors would like to thank Anses staff and the members of the Anses Working Group on Source Attribution of Foodborne Diseases: Laurence Watier, Frédéric Carlin, Julie David, Philippe Fravalo, Nathalie Jourdan-Da Silva, Lapo Mughini-Gras, Nicole Pavio, Isabelle Villena. U. Gonzales-Barron and V. Cadavez are grateful to the Foundation for Science and Technology (FCT, Portugal) for financial support through national funds FCT/MCTES to CIMO (UIDB/00690/2020). U. Gonzales-Barron also thanks FCT, P.I., for the institutional scientific employment programme.

338

339 Figures

- 340 Figure 1: PRISMA flow chart for the selection of case-control studies of human listeriosis
- 341 included in this meta-analysis
- 342 Figure 2: Forest-plot of the association of listeriosis with immunocompromising conditions in
- 343 the general susceptible population
- Figure 3: Forest-plot of the association of listeriosis with the consumption of seafood in the general susceptible population

Figure 4: Forest-plot of the association of listeriosis with consumption of cheeses in the generalsusceptible population

- 348 Figure 5. Funnel-plots from meta-analyses
- 349 Tables

350 Table 1. Characteristics of case-control studies investigating sources of sporadic human

- 351 listeriosis included in the meta-analysis
- 352 Table 2. Results of the meta-analysis on main risk factors
- 353 Table 3. Results of the meta-analysis on ready-to-eat foods
- Table 4. Results of the meta-analysis on disaggregated risk factors
- 355 Table 5. Effect of food handling on the pooled OR



Figure 1. PRISMA flow chart for the selection of case-control studies of human listeriosisincluded in this meta-analysis

Study	Country	Label	Odds Ratio	95% CI]	
Dalton_EpiInf_2011	Australia	Chemotherapy	1.7	[0.6-5]	
Dalton_EpiInf_2011	Australia	Radiation theraphy	0.8	[0.1-4.4]	
Friesema_Eurosurveillance_2015*	Netherlands	s Immune disorder	14.7	[7.4-29.1]	—
Friesema_Eurosurveillance_2015*	Netherlands	S Cancer	24.8	[15.4-40]	
Friesema_Eurosurveillance_2015*	Netherlands	organ transplant	138.9	[15.7-Inf]	\rightarrow
Friesema_Eurosurveillance_2015*	Netherlands	Use of immunosuppressants	80.3	[49.8-129.7]	
Friesema_Eurosurveillance_2015*	Netherlands	s Immune disorder	3.3	[1.3-8.6]	
Friesema_Eurosurveillance_2015*	Netherlands	cancer	26.8	[14.4-49.8]	\rightarrow
Friesema_Eurosurveillance_2015*	Netherlands	Use of immunosuppressants	53.7	[31-93]	*
PreuBel_PLOSONE_2015*	Germany	Chemotherapy /3 mo	17.12	[8.17-35.78]	∎→
PreuBel_PLOSONE_2015*	Germany	Immunosupressive med /3 mo	6.52	[4.23-10.04]	
PreuBel_PLOSONE_2015*	Germany	Radiation therapy /3 mo	5.77	[2.08-16.03]	
PreuBel_PLOSONE_2015*	Germany	Hemodialysis /3 mo	5.44	[1.75-16.96]	
PreuBel_PLOSONE_2015*	Germany	Cancer-hematological /5 yrs	15.97	[6.51-39.16]	_ >
PreuBel_PLOSONE_2015*	Germany	Solid organ transplantation	3.89	[1.3-11.62]	
PreuBel_PLOSONE_2015*	Germany	Autoimmune disorder	3.53	[1.68-7.41]	
PreuBel_PLOSONE_2015*	Germany	Cancer /5 yrs	1.63	[0.93-2.84]	
PreuBel_PLOSONE_2015*	Germany	Immunosupressive med /3 mo	8.75	[4.91-15.58]	
PreuBel_PLOSONE_2015*	Germany	Immunocompromising disease	2.73	[1.44-5.2]	
FernandezSabe_CID_2009	Spain	Receipt of prior transplant	1.22	[0.27-5.49]	
Jensen_SJID_1994	Denmark	Steroids & chemotherapy treatmen	t 30.5	[4.3-217]	\longrightarrow
Random Effect Meta-Analysis	All		5.17	[1.74-15.41]	
				0.10	1.0 2.0 5.0 10.0 25.0

Figure 2. Forest-plot of the association of listeriosis with immunocompromising conditions in
the general susceptible population (n=21) *adjusted OR

Study	Country	Label	Odds Ratio) [95% CI]	
Dalton_EpiInf_2011	Australia	Mussels	2.2	[0.5-10]	
Dalton_EpiInf_2011	Australia	Prawns	0.8	[0.4-1.8]	
Dalton_EpiInf_2011	Australia	Oysters	0.7	[0.2-2.7]	
Dalton_EpiInf_2011	Australia	Smoked salmon	1.3	[0.4-4.4]	
Dalton_EpiInf_2011	Australia	Other smoked fish	0.5	[0.1-1.8]—	
Dalton_EpiInf_2011	Australia	Prawns	0.8	[0.01-10.7]←	
Dalton_EpiInf_2011	Australia	Oysters	0.8	[0.01-10.7] ←	
Gillespie_FPD_2010	UK	Smoked salmon	4.82	[2.99-7.76]	
Gillespie_FPD_2010	UK	Cold cooked fish	22.32	[15.85-31.44]	
Gillespie_FPD_2010	UK	Prawns	1.5	[1.01-2.24]	
Varma_CID_2007*	USA	Mussels	2.13	[0.88-5.18]	
Varma_CID_2007*	USA	Smoked fish	1.19	[0.59-2.4]	
Varma_CID_2007*	USA	Smoked salmon	1.2	[0.58-2.48]	
Gillespie_Eurosurv_2010	UK	Fish from fishmongers	s 1.79	[0.96-3.36]	
Random Effect Meta-Analysis	All		2.15	[1.19-3.88]	-
				i 0.10	1.0 2.0 5.0 10.0 25.0

386

Figure 3. Forest-plot of the association of listeriosis with the consumption of seafood in the
 general susceptible population (n=14) *adjusted OR

Study	Country	Label	Odds Ratio	[95% CI]	a
Dalton_EpiInf_2011	Australia	Camembert	2.5	[0.9-7.4]	
Dalton_EpiInf_2011	Australia	Blue-veined cheese	1.9	[0.5-7.2]	
Dalton_EpiInf_2011	Australia	Feta cheese	1.9	[0.6-5.7]	
Dalton_EpiInf_2011*	Australia	Camembert	4.7	[1.1-20.6]	· · · · · · · · · · · · · · · · · · ·
Dalton_EpiInf_2011	Australia	Any cheese	1.8	[0.9-3.6]	
Dalton_EpiInf_2011	Australia	Brie	0.9	[0.3-2.6]	
Dalton EpiInf 2011	Australia	Cream cheese	1.4	[0.5-4.2]	
Dalton EpiInf 2011	Australia	Ricotta	1.3	[0.3-6.1]	
Dalton EpiInf 2011	Australia	Mozarella	1.6	[0.2-10.1]	
Dalton EpiInf 2011	Australia	Any cheese	0.6	[0.01-13.4]	<u>.</u>
Dalton EpiInf 2011	Australia	Cream cheese	2	[0.1-Inf]-	
Gillespie FPD 2010	UK	Cheddar	0.85	[0.6-1.2]	
Gillespie FPD 2010	UK	Hard cheese exc. cheddar	2.37	[1.69-3.3]	
Gillespie FPD 2010	UK	Blue cheese	2.24	[1.47-3.43]	· · · · · · · · · · · · · · · · · · ·
Gillespie FPD 2010	UK	Camembert cheese	4.8	[2.32-9.9]	
Gillespie FPD 2010	UK	Brie cheese	1.38	[0.72-2.63]	
Gillespie FPD 2010	UK	Other cheese	1.65	1.19-2.281	
PreuBel PLOSONE 2015*	Germany	Pre-sliced cheese	2.34	1.56-3.511	
PreuBel PLOSONE 2015*	Germany	Packaged cheese	2.11	[1.4-3.18]	
PreuBel PLOSONE 2015*	Germany	Red-smear cheese	0.49	0.31-0.81	
PreuBel PLOSONE 2015*	Germany	Semi-soft cheese	0.5	[0.33-0.77]	
PreuBel PLOSONE 2015*	Germany	White mould cheese	0.57	[0.38-0.86]	
PreuBel PLOSONE 2015*	Germany	Cheese from supermarket	2.66	[1.07-6.6]	
PreuBel PLOSONE 2015*	Germany	Packaged cheese	2.09	[1.25-3.49]	
PreuBel PLOSONE 2015*	Germany	Pre-sliced cheese	2.19	[1.31-3.66]	
Schuchat JAMA 1992	USA	Mexican-style cheese	2.01	[1.04-3.9]	
Schuchat JAMA 1992	USA	Feta cheese	3.03	[1.32-6.94]	
Schuchat JAMA 1992	USA	Commodity cheese	2.58	[1-6.75]	
Schuchat JAMA 1992*	USA	Mexican-style or feta cheese	2.59	[1.41-4.78]	
Schuchat JAMA 1992*	USA	Mexican-style or feta cheese	2.28	[0.87-5.98]	a
SchlechIII CID 2005	Canada	Soft cheese	2.2	[0.27-17.92]	
Varma CID 2007*	USA	Brie cheese	1.68	[0.7-3.99]	
Varma CID 2007*	USA	Camembert cheese	2.83	[0.71-11.21]	
Varma CID 2007*	USA	Mexican-style cheese	1.48	[0.63-3.48]	· · · · · · · · · · · · · · · · · · ·
Varma CID 2007*	USA	Mexican-style cheese	5.67	[1.01-31.88]	
Varma CID 2007*	USA	Soft-cheese	2.39	[1.17-4.87]	
Varma CID 2007*	USA	Any soft-cheese	2.03	[1.03-4.02]	
Varma CID 2007*	USA	Mexican-style cheese	5.03	[0.83-30.56]	
Schwartz Lancet 1988	USA	Cheese	1.02	[0.92-1.1]	+
Linnan EJM 1988	USA	Mexican-style soft cheese	5.5	[1.2-24.8]	
Random Effect Meta-Analysis	s All	· · · · · · · · · · · · · · · · · · ·	1.83	[1.27-2.64]	
				0.1	10 1.0 2.0 5.0 10.0 25.0

Figure 4. Forest-plot of the association of listeriosis with the consumption of cheese in the
 general susceptible population (n=40) *adjusted OR





Figure 5: Funnel-plots from meta-analyses investigating

397 A. Host-specific factors

- B. Food risk factors in the general susceptible population
- 399 C. Food risk factors in the non-perinatal population
- 400 D. Meat in the general susceptible population
- 401 E. Produce in the general susceptible population
- 402 F. Composite in the general susceptible population
- 403
- 404
- 405

406 Table 1. Characteristics of case-control studies investigating sources of sporadic human

407 listeriosis included in the meta-analysis

Study ID*	Country	Study	Population	Design	Analysis	Cases/controls	Quality
		period			& model**		
Dalton et	Australia	2001-	Non-perinatal	Matched	Uni-CL	117 cases	Good
al. 2011		2004	(immuno-		Multi-CL	85 controls	
			compromised)				
			Perinatal	Matched	Uni-UL	19 cases	
					Multi-UL	12 controls	
Fernández	Spain	1995-	Non-perinatal	Matched	Uni-Chi	30 cases	Good
et al. 2009		2007	(transplant- recipients)		Multi-CL	60 controls	
Friesema	Netherlands	2008-	Non-perinatal	Unmatched	Uni-UL	279 cases	Good
et al. 2015		2013	(immuno-		Multi-UL	1733 controls	
			compromised)				
Gillespie	UK	2001-	Peri/non-peri	Unmatched	Uni-Chi	171 cases	Poor
et al.		2007				60646 controls	
2010a			Non parinatal	Unmatched	Uni Chi	104 cases	
			(elderly)	Uninatcheu	UIII-CIII	15177 controls	
Gillespie	UK	2005-	Non-perinatal	Unmatched	Uni-Chi	159 cases	Good
et al.	0 II	2008	(Elderly)	eminucieu	em em	18115 controls	0000
2010b							
Jensen et	Denmark	1989-	Perinatal/non-	Unmatched	Uni-Chi	66 cases	Poor
al. 1994		1990	perinatal			33 controls	
			(pregnant and				
			immuno-				
Linnon at		1095	Compromised)	Unmotohod	Uni Chi	2 20222	Cood
1088	USA	1985-	perinatal/non-	Unmatched	Uni-Chi	2 controls	Good
Preussel et	Germany	2012-	Non-perinatal	Unmatched	Uni-III.	109 cases	Good
al. 2015	Germany	2012	(Immuno-	Offinateried	Multi-UL	1982 controls	0000
			compromised)				
Schlech et	Canada	2002-	Non-perinatal	Unmatched	Uni-Chi	12 cases	Poor
al. 2005		2004	(Underlying			24 cases	
			GI diseases)				
Schuchat	USA	1988-	Perinatal/non-	Matched	Uni-MH	165 cases	Good
et al. 1992		1990	perinatal		Mult ₁ -CL	376 controls	
			(pregnant and				
			compromised)				
Schwartz	USA	1986-	Perinatal/non-	Matched	Uni-MH	80 cases	Good
et al. 1988	CDII	1987	perinatal	111111111111	Multi-CL	239 controls	0000
			(pregnant and				
			immuno-				
			compromised)				
Varma et	USA	2000-	Perinatal/non-	Unmatched	Uni-UL	169 cases	Good
al. 2007		2003	perinatal	(frequency-	Multi-UL	376 controls	
			(pregnant and	matched)			
			ininuno-				
1.7. 0			compromised)				

408 *References are listed in Appendix 1;** Univariate analysis can be univariate (Uni) and multivariate

409 (Model) while model can be chi-square (Chi), Mantel-Haenzel (MH), unconditional logistic (UL) and 410 conditional logistic (CL)

Population	Risk factor	Pooled OR [95% CI]	N/n*	p-value of risk factor	Publication bias p-value	Points removed **	Heterogeneity analysis***
		Host-sp	pecific				
	Other medical conditions	3.020 [2.326 - 3.923]	8/24	<.0001			т ² =2.015
All	Antiacids	2.014 [1.260 - 3.218]	5/9	0.003			QE(df = 61) =
All susceptible	Immunocompromising conditions	5.170 [1.735 - 15.407]	5/21	0.003	<.0001	0	437.088, p-val < .0001
	Chronic diseases	2.927[1.913 - 4.480]	3/11	<.0001			S ² =1.180 I ² =63.06
		Foo	bc				
	Produce	1.415 [1.003 - 1.995]	7/27	0.048			T ² = 0.688
	Meat	1.371 [1.027 - 1.830]	8/44	0.032			QE(df = 136) =
All	Dairy	1.867 [1.292 - 2.699]	2 - 2.699] 9/45 0.001 0.001		0.001	1	615.478, p-val <
susceptible	Seafood	2.148 [1.190 - 3.877]	4/14	0.011			.0001
	Composite	1.621 [1.014 - 2.590]	4/11	0.044			l ² =55.61
Non-perinatal	Dairy	1.605 [1.187 - 2.170]	4/27	0.002	0.807	1	τ ² =1.087 QE(df = 69) = 439.237, p-val
Non-perinatai	Seafood	2.477 [1.098 - 5.59]	3/9	0.029	0.007		<.0001 S ² =0.516 I ² =67.80

412 Table 2. Results of the meta-analysis on the main risk factors

413 *N/n Number of studies/number of OR;** points removed by sensitivity analysis, all results are given after 414 removing data concerned;***Between-study variability (τ^2), test for residual heterogeneity (QE), variance of 415 residuals (s²), intra-class correlation (I²).

418 Table 3. Results of the meta-analysis on ready-to-eat foods

Population	Type of RTE food	Pooled OR [95% CI]	N/n*	p-value of risk factor	Publication bias p-value	Points removed **	Heterogeneity analysis***
	Dairy	1.830 [1.252 - 2.676]	8/44	0.002			$\tau^2 = 3.177$ QE(df = 77) =
All susceptible	Seafood	6.273 [1.457 - 27.01]	3/6	0.014	0.553	0	410.8, p-val <.0001 S ² =0.510 I ² =86.16
Non	Dairy	1.636 [1.189 - 2.250]	4/27	0.003			$\tau^2 = 2,964$ QE(df = 48) = 291.1 p-val
perinatal	Seafood	10.746 [1.541 - 74.91]	2/4	0.017	0.610	0	<.0001 $S^2=0.608$ $I^2=83.00$

420 *N/n Number of studies/number of OR.;** points removed by sensitivity analysis, all results are given after

421 removing data concerned;;***Between-study variability (τ^2), test for residual heterogeneity (QE), variance of 422 residuals (s²), intra-class correlation (I²).

434 Table 4. Results of the meta-analysis on disaggregated risk factors

Risk Factor	Population	Risk factor precise	Pooled OR [95% CI]	N/n*	p-value of risk factor	Publicati on bias p-value	Points removed **	Heterogeneity analysis***
Mart	All	Poultry	2.157 [1.177 - 3.951]	3/5	0.013	0.002	0	$\tau^2=0.174$ QE(df = 22) = 89.90, p-val <
Wieat	susceptible	Processed meat	1.624 [1.230 - 2.143]	6/13	0.001	0.002	0	$\begin{array}{c} .0001 \\ S^2 = 0.625 \\ I^2 = 21.75 \end{array}$
Meat	Non perinatal	Processed meat	1.549 [1.307 - 1.836]	3/7	<.0001	0.226	0	$\begin{aligned} \tau^2 &= 0.092 \\ QE(df = 11) &= \\ 63.06, p-val \\ <.0001 \\ S^2 &= 0.277 \\ I^2 &= 24.92 \end{aligned}$
		Cheese	1.832 [1.270 - 2.643]	8/40	0.001			$\tau^2 = 0.305$ QE(df = 43) =
Dairy	All susceptible	Fats	2.139 [1.314 - 3.481]	3/5	0.002	0.813	0	$\begin{array}{c} 196.182, \text{ p-val} \\ <.0001 \\ \text{S}^2=0.417 \\ \text{I}^2=42.21 \end{array}$
Dairy	Non perinatal	Cheese	1.586 [1.188 - 2.119]	4/24	0.002	0.160	0	$\begin{aligned} \tau^2 &= 0.000 \\ QE(df = 25) &= \\ 141.29, p-val \\ <.0001 \\ S^2 &= 0.396 \\ I^2 &= 0.00 \end{aligned}$
Produc e	All susceptible	Fruits	1.538 [1.1431 - 2.070]	2/11	0.005	0.002	0	τ^2 =0.054 QE(df = 25) = 53.736, p-val = 0.001 S ² =0.209 I ² =20.67
Compo site	All susceptible	RTE	1.486 [1.1263 - 1.960]	2/3	0.005	<.0001	0	$\tau^2=0.244$ QE(df = 9) = 24.60, p-val = 0.003 S ² =0.397 I ² =38.07

437 *N/n Number of studies/number of OR.;** points removed by sensitivity analysis, all results are given after 438 removing data concerned;;***Between-study variability (τ^2), test for residual heterogeneity (QE), variance of 439 residuals (s²), intra-class correlation (I²).

457	
458	Table 5. Effect of food handling on the pooled OR

Risk Factor	Risk factor precise	Pooled OR [95% CI]	N/n *	p- value of risk factor	Increase in OR due to poor handling [95% CI]	Points removed **	Publicati on bias p-value	Heterogeneity analysis***
Processed meat and poultry	Undercooked	5.013 [1.776 - 14.142]	3/7	0.001	2.168 [1.297 - 3.623]	0	0.069	τ^2 =0.052 QE(df = 30) = 63.10, p-val = 0.001
(at)	Base	2.312 [1.370 - 3.904]	7/26	0.003	-			$S^2=0.487$ $I^2=9.681$
-	Eating out	2.506 [1.216 - 5.167]	1/3	0.001	2.358 [1.483 - 3.750]			$\tau^2 = 0.000$ QE(df = 9) = 5.945, p-val =
Fruits	Base	1.063 [0.820 - 1.378]	2/8	0.645	-	0	0.077	$\begin{array}{c} 0.745\\ S^2=0.226\\ I^2=0.000 \end{array}$

*N/n Number of studies/number of OR; ** points removed by sensitivity analysis, all results are given after removing data concerned; ***Between-study variability (τ^2), test for residual heterogeneity (QE), variance of residuals (s²), intra-class correlation (I²).

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628 Appendix 2. Results of the meta-analysis - non-significant results

629 • Main risk factors

	Popula	ation	Risk factor	Pooled OR [IC95%] N/n*	
	A.U		Environmen	t	44.0701 0/0	
	All susc	eptible Far	m environment	3.253 [0.930-	- 11.378] 2/8	
			Food	4 000 10 700	4 7 4 7 1 5 4 4	
		– – –	Produce	1.096 [0.700-	1./1/] 5/11	
	Non per	rinatal	Meat	1.320 [0.946	- 1.841] 4/23	
	4517 51		Composite	1.283 [0.568	- 2.899] 2/4	
630	*N/n Num	iber of studies/n	umber of OR			
631						
632						
633 •	Ready	-to-eat food	S			
634						
C 25				Risk	Dealed OD	
635		Risk Factor	Population	factor	Pooled UK	N/n
				precise	[109576]	
636		RTE	All	Produce	1.606 [0.903 - 2.856]	3/6
637	_		susceptible	Meat	1.185 [0.879 - 1.595]	7/25
638		RTE	Non	Produce	1.367 [0.813 - 2.298]	3/4
038			permatai	Meat	1.203 [0.784 - 1.847]	4/17
641 • 642	Disagg	regated risl	k factors			
643		Risk Factor	Population	Risk factor precise	Pooled OR [IC95%]	N/n*
<i></i>						
644						
645		Meat	All susceptible	Others	1.017 [0.756 - 1.370]	5/7
646		Mart	Nag garingtal	Others	0.970[0.592 1.202]	1/6
		Meat	Non perinatai	Others	0.870[0.582 - 1.502]	4/6
647		Produce	All	Vegetables	1 102 [0 820 - 1 480]	7/16
648		Troutee	susceptible	Vegetables	1.102 [0.020 1.400]	//10
040						
649		Produce	Non perinatal	Vegetables	0.918 [0.605 - 1.394]	5/8
			_			
650				Molluscs	1.985 [0.984 - 4.004]	2/4
		Seafood	All	Crustaceans	1.033 [0.677 - 1.574]	2/3
651			susceptible	Processed	2 789[0 981 - 7 932]	1/0
				TIOCCSSCU	2.707 0.701 - 7.752	4/0
		Comment	All	Dichas	1 550 [0.945 2.946]	4/0 2/0

653 *N/n l

*N/n Number of studies/number of OR